



香港股票交易收費
Charges for Hong Kong Securities Trading

費用及收費類別 Fee and Classification	比率/金額 Rate/Amount
(A) 證券交易服務 Securities Trading	
請聯絡本行職員查詢更優惠佣金 (852) 3998 5120 Please contact to account executive for the preferential commission (852) 3998 5120	
經紀佣金 Commission Fee (電話交易 via telephone)	另議 Negotiable
經紀佣金 Commission Fee (網上交易 via internet)	另議 Negotiable
中央結算費用 CCASS Fee	成交金額的 0.01% (最低收費港幣 \$3, 最高港幣 \$300) 0.01% of the consideration (Minimum HK\$3; Maximum HK\$300)
政府印花稅 Stamp duty	每港幣 \$1,000 成交金額收港幣 \$1.3 (0.13%) (成交金額不足港幣 \$1,000 作 \$1,000 計算) HK\$1.3 per HK\$1,000 of consideration (0.13%) (Any fraction of HK\$1,000 of consideration shall be rounded up to the nearest HK\$1,000)
證監會交易徵費 Transaction Levy	成交金額的 0.0027% 0.0027% of the consideration
交易所交易費 Trading Fee	成交金額的 0.005% 0.005% of the consideration
財匯局交易徵費 FRC Transaction Levy	成交金額的 0.00015% (計至最接近的仙位數) 0.00015% of the consideration (Rounded to the nearest cent)
託管費 Custody Fee	免費 Free
(B) 處理實物股票及交收服務 Physical Scrip Handling & Settlement Services	
存入股票 - 現貨 Stock Deposit - Physical	
釐印費 Stamp duty	每張股票/每張轉手紙收取港幣 \$5 HK\$5 per certificate/ for each transfer deed
存倉費 Custody Fee	免費 Free
提取股票 - 現貨 Stock Withdrawal - Physical	
行動費 Action Fee	每手港幣 \$5 (碎股視作一手計算) HK\$5 per board lot (Odd lots: \$ 5)
手續費 Handling Fee	港幣 \$150 (不限股票隻數) HK\$150 (the number of stock(s) are unlimited)
中央結算系統的交收指示(SI) CCASS Settlement Instruction	
存入股票 Stock Deposit	免費 Free
提取股票 Stock Withdrawal	股票值 0.03%, 最低收費為港幣 \$3; 另加港幣 \$100 手續費(每隻股票) 0.03% of stock value, Minimum HK\$3; plus Handling Fee of HK\$100 per stock
個人投資者戶口交收指示(ISI) Investor Settlement Instruction Transactions	
存入股票 Stock Deposit	免費 Free
提取股票 Stock Withdrawal	每隻股票港幣 \$30 HK\$30 per stock
(C) 代理人服務及企業行動 Nominee Services & Corporate Actions	
代收現金股息 Dividend Collection	
手續費 Handling Fee	股息總值的 0.50% (最低收費港幣 \$30, 最高港幣 \$5000) 0.50% of gross dividend collected (Minimum HK\$30; Maximum HK\$5000)
登記及過戶費(在截止過戶日期) Scrip Fee (Book Close)	每手港幣 \$2 HK\$2 per board lot



代收紅股/代收紅利認股證 Bonus Collection/ Bonus Warrant Collection	
手續費 Handling Fee	港幣 \$30 HK\$30
登記及過戶費(在截止過戶日期) Scrip Fee (Book Close)	每手港幣 \$2 HK\$2 per board lot
代收供股權證 Rights Collection	
手續費 Handling Fee	港幣 \$30 HK\$30
登記及過戶費(在截止過戶日期) Scrip Fee (Book Close)	每手港幣 \$2 HK\$2 per board lot
代客行使供股權證 Rights Subscription	
手續費 Handling Fee	港幣 \$30 HK\$30
行動服務費 Corporation Action Fee	每手港幣 \$1 HK\$1 per board lot
行使認股證/備兌認股證 Warrant / Covered Warrant Conversion	
手續費 Handling Fee	港幣 \$30 HK\$30
行動服務費 Corporation Action Fee	每手港幣 \$1 HK\$1 per board lot
現金收購 Cash Offer	
手續費 Handling Fee	港幣 \$30 HK\$30
行動服務費 Corporation Action Fee	每手港幣 \$1 HK\$1 per board lot
政府印花稅(政府重價稅) Stamp Duty	每港幣 \$1,000 成交金額收港幣 \$1.3 (0.13%) (成交金額不足港幣 \$1,000 作 \$1,000 計算) HK\$1.3 per HK\$1,000 of consideration (0.13%) (Any fraction of HK\$1,000 of consideration shall be rounded up to the nearest HK\$1,000)
代追收股息 Dividend Claim	現金股息總值的 0.50% (最低收費港幣 \$30, 最高港幣 \$5000); 另手續費港幣 \$500 [無論追收成功與否, 均須繳付; 個別情況需收取額外費用] 0.50% of Total Dividend Amount (Minimum HK\$30; Maximum HK\$5000); plus Handling Fee of HK\$500 [Charged whether the claim is succeed or not; Additional fees may be applied in special cases]
(D) 其他費用 Other Services	
代客申請新股/終止支票過戶/退票 IPO Application/ Stop Cheque/ Returned Cheque	
手續費 Handling Fee	港幣 \$100 HK\$100
重印成交單或月結單 Duplicate Copy of Statement	
3 個月內 Within 3 months	免費 Free
3 個月外 Beyond 3 months	每份港幣 \$30 HK\$30 per Set
戶口結餘利率 Interest Rate on Credit Balance	
現金結餘利率 Credit Balance	0%
對賬通啟 Audit Confirmation	
手續費 Handling Fee	每封港幣 \$200 HK\$200 per letter



逾期交收利率 Interest for Late Payment		請聯絡本行職員查詢更優惠利率 (852) 3998 5120 Please contact to account executive for the preferential rate (852) 3998 5120
現金托管戶口 Custodian Account	優惠利率(P)+ 8% Prime Rate(P)+ 8%	
孖展戶口 Margin Account	優惠利率(P)+ 4.625% Prime Rate(P)+ 4.625% 洽商 (歡迎聯絡客戶經理或致電客戶服務部資訊) Negotiate (Please contact your Account Executive or via our customer service hotline)	
匯款 Remittance		
本地銀行匯款 [中國銀行(香港)除外] CHATS [Except for Bank of China (HK)] 凡任何上午 11 時後的提款指示，並要求 即日到帳手續費 Instant Payment Handling Fee (The instruction after 11am)	港元 HKD: HK\$300 外幣 Foreign currency: HK\$400	

Notes 備注:

以上收費僅供參考，本公司可隨時修訂而不另行通知。客戶如有任何查詢，請聯絡本公司客戶主任。

The charges above are for reference only, charges are subject to change by the Company at any time without prior notice. Please contact your Account Executive if you have any enquiries.