元庫證券有限公司
SILVERBRICKS SECURITIES CO., LTD.

FEE TABLE

1. Trading Services

(A) Hong Kong Market

Stocks/ETFs Trading (when buying or selling Stocks/ETFs, the following fees will be incurred based on the transaction amount for each trade)	
Commission Fee	Negotiable
CCASS Fee	0.01% (Minimum HKD 3, Maximum HKD 300)
Stamp Duty	0.1% (Minimum HKD 1, any decimal amount of less than HKD 1 will be rounded up to nearest HKD) This fee is waived for ETF trading
Transaction Levy	0.0027%
Trading Fee	0.00565%
FRC Transaction Levy	0.00015%

(B) US Market

Stocks/ETFs Trading (when buying or selling Stocks/ETFs, the following fees will be incurred based on the transaction amount for each trade)	
Commission Fee	Negotiable
Trading Activity Fee (TAF)	0.000166 per stock (sell trades only , subject to maximum of USD 8.30)
Financial Transaction Tax	0.3% (buy trades only, applicable for French ADRs with market cap >= EUR 1B) 0.1% (buy trades only, applicable for Italian ADRs with market cap >= EUR 500M) 0.2% (buy trades only, applicable for Spanish ADRs with market cap >= EUR 1B)
American Depository Receipt (ADR) Depository Receipt (DR) Fee	varies with each counter

(C) CN Market

Stocks/ETFs Trading (when buying or selling Stocks/ETFs, the following fees will be incurred based on the transaction amount for each trade)	
Commission Fee	Negotiable
Handling Fee	0.00487%
Securities Management Fee	0.002%
Transfer Fee	0.002%
Stamp Duty	0.1% (sell trades only)

	This fee is waived for ETF trading
Portfolio Fee	Holding stock value * 0.008% / 365 days (calculated daily, collected monthly)

2. Physical Scrip Handling & Settlement Services (Hong Kong Market)

Stock Deposit – Physical	
Stamp Duty	HKD 5 per certificate / per transfer deed
Stock Withdrawal – Physical	
Action Fee	HKD 5 per board lot (Odd lots: HKD 5)
Handling Fee	HKD 150
CCASS Settlement Instruction	
Stock Withdrawal	0.03% of stock value, Minimum HKD 3; plus Handling Fee of HKD 100 per stock
Investor Settlement Instruction Transactions (ISI)	
Stock Withdrawal	HKD 30 per stock

3. Nominee Services & Corporate Actions (Hong Kong Market)

Dividend Collection	
Handling Fee	0.50% of gross dividend collected (Minimum HKD 30, Maximum HKD 5,000)
Scrip Fee (Book Close)	HKD 2 per board lot
Bonus Collection/Bonus Warrant Collection	
Handling Fee	HKD 30
Scrip Fee (Book Close)	HKD 2 per board lot
Rights Collection	
Handling Fee	HKD 30
Scrip Fee (Book Close)	HKD 2 per board lot
Rights Subscription	
Handling Fee	HKD 30
Corporation Action Fee	HKD 1 per board lot
Warrant/Covered Warrant Conversion	
Handling Fee	HKD 30
Corporation Action Fee	HKD 1 per board lot
Cash Offer	
Handling Fee	HKD 30
Corporation Action Fee	HKD 1 per board lot

Stamp Duty	0.13% (Any fraction of HKD 1,000 of consideration shall be rounded up to the nearest HKD 1,000)
Dividend Claim	0.50% of Total Dividend Amount (Minimum HKD 30; Maximum HKD 5,000) ; plus Handling Fee of HKD 500 (Charged whether the claim is succeed or not; Additional fees may be applied in special cases)

4. Other Services

IPO Application/Stop Cheque/Returned Cheque	
Handling Fee	HKD 100
Duplicate Copy of Statement	
Within 3 months	Free
Beyond 3 months	HKD 30 per set
Audit Confirmation	
Handling Fee	HKD 200 per letter
Interest for Late Payment	
Custodian Account	Prime Rate + 8%
Margin Account	Prime Rate + 4.625%
Remittance	
CHATS (Except for Bank of China (HK)) **Instant Payment Handling Fee (For instruction after 11am)**	Hong Kong Dollar: HKD 300 Foreign Currency: HKD 400

Notes：

1. The charges above are for reference only, charges are subject to change by the Company at any time without prior notice.
2. Please contact your Account Executive if you have any enquiries.