

## FEE TABLE

### 1. Trading Services

#### (A) Hong Kong Market

Stocks/ETFs Trading (when buying or selling Stocks/ETFs, the following fees will be incurred based on the transaction amount for each trade)	
Commission Fee	Negotiable
CCASS Fee	0.01% (Minimum HKD 3, Maximum HKD 300)
Stamp Duty	0.1% ( Minimum HKD 1, any decimal amount of less than HKD 1 will be rounded up to nearest HKD) <u>This fee is waived for ETF trading</u>
Transaction Levy	0.0027%
Trading Fee	0.00565%
FRC Transaction Levy	0.00015%

#### (B) US Market

Stocks/ETFs Trading (when buying or selling Stocks/ETFs, the following fees will be incurred based on the transaction amount for each trade)	
Commission Fee	Negotiable
Trading Activity Fee (TAF)	0.000166 per stock (sell trades only , subject to maximum of USD 8.30)
Securities and Exchange Commission (SEC) Fee	0.00278% (sell trades only)
Financial Transaction Tax	0.3% (buy trades only, applicable for French ADRs with market cap >= EUR 1B) 0.1% (buy trades only, applicable for Italian ADRs with market cap >= EUR 500M) 0.2% (buy trades only, applicable for Spanish ADRs with market cap >= EUR 1B)
American Depositary Receipt (ADR) Depositary Receipt (DR) Fee	varies with each counter

#### (C) CN Market

Stocks/ETFs Trading (when buying or selling Stocks/ETFs, the following fees will be incurred based on the transaction amount for each trade)	
Commission Fee	Negotiable
Handling Fee	0.00487%
Securities Management Fee	0.002%

元庫證券有限公司 Silverbricks Securities Company Limited

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<b>Transfer Fee</b>	0.002%
<b>Stamp Duty</b>	0.1% (sell trades only) <u>This fee is waived for ETF trading</u>
<b>Portfolio Fee</b>	Holding stock value * 0.008% / 365 days (calculated daily, collected monthly)

## 2. Physical Scrip Handling & Settlement Services (Hong Kong Market)

<b>Stock Deposit – Physical</b>	
<b>Stamp Duty</b>	HKD 5 per certificate / per transfer deed
<b>Stock Withdrawal – Physical</b>	
<b>Action Fee</b>	HKD 5 per board lot (Odd lots: HKD 5)
<b>Handling Fee</b>	HKD 150
<b>CCASS Settlement Instruction</b>	
<b>Stock Withdrawal</b>	0.03% of stock value, Minimum HKD 3; plus Handling Fee of HKD 100 per stock
<b>Investor Settlement Instruction Transactions (ISI)</b>	
<b>Stock Withdrawal</b>	HKD 30 per stock

## 3. Nominee Services & Corporate Actions (Hong Kong Market)

<b>Dividend Collection</b>	
<b>Handling Fee</b>	0.50% of gross dividend collected (Minimum HKD 30, Maximum HKD 5,000)
<b>Scrip Fee (Book Close)</b>	HKD 2 per board lot
<b>Bonus Collection/Bonus Warrant Collection</b>	
<b>Handling Fee</b>	HKD 30
<b>Scrip Fee (Book Close)</b>	HKD 2 per board lot
<b>Rights Collection</b>	
<b>Handling Fee</b>	HKD 30
<b>Scrip Fee (Book Close)</b>	HKD 2 per board lot
<b>Rights Subscription</b>	
<b>Handling Fee</b>	HKD 30
<b>Corporation Action Fee</b>	HKD 1 per board lot
<b>Warrant/Covered Warrant Conversion</b>	
<b>Handling Fee</b>	HKD 30
<b>Corporation Action Fee</b>	HKD 1 per board lot
<b>Cash Offer</b>	

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<b>Handling Fee</b>	HKD 30
<b>Corporation Action Fee</b>	HKD 1 per board lot
<b>Stamp Duty</b>	0.13% (Any fraction of HKD 1,000 of consideration shall be rounded up to the nearest HKD 1,000)
<b>Dividend Claim</b>	0.50% of Total Dividend Amount (Minimum HKD 30; Maximum HKD 5,000) ; plus Handling Fee of HKD 500 (Charged whether the claim is succeed or not; Additional fees may be applied in special cases)

#### 4. Other Services

<b>IPO Application/Stop Cheque/Returned Cheque</b>	
<b>Handling Fee</b>	HKD 100
<b>Duplicate Copy of Statement</b>	
<b>Within 3 months</b>	Free
<b>Beyond 3 months</b>	HKD 30 per set
<b>Audit Confirmation</b>	
<b>Handling Fee</b>	HKD 200 per letter
<b>Interest for Late Payment</b>	
<b>Custodian Account</b>	Prime Rate + 8%
<b>Margin Account</b>	Prime Rate + 4.625%
<b>Remittance</b>	
<b>CHATS (Except for Bank of China (HK))</b>	Hong Kong Dollar: HKD 300 Foreign Currency: HKD 400
<b>Instant Payment Handling Fee (For instruction after 11am)</b>	

#### Notes :

- The charges above are for reference only, charges are subject to change by the Company at any time without prior notice.
- Please contact your Account Executive if you have any enquiries.

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